



Food Safety Audit

Greenhouse Audit v07.08

Auditor: _____

Date Sent: _____

* Date Received: _____

Audit Start: Time/Date:: _____

Audit End: Time/Date:: _____

Foreman/Contact: _____

City/Location: _____

State: _____

Country: _____

G.P.S.: _____

Commodities: _____

Customer: _____

Grower: _____

Ranch/Farm Name: _____

Audit Scope: _____

Self Inspection

| | | Severity / Max. point value | Verified | |
|-------|--|-----------------------------------|----------|--|
| 01.01 | Have Good Agricultural Practices (GAP) manuals been developed covering each growing operation within the scope of this audit, and are they available for review? | 5 | | |
| 01.02 | Have documented Good Agricultural Practices (GAP) self-audits been completed for each growing operation that is within the scope of this audit? | 7 | | |

Traceability

| | | Severity / Max. point value | Verified | |
|-------|---|-----------------------------------|----------|--|
| 02.01 | Is the growing facility adequately identified or coded to enable trace back and trace forward in the event of a recall? | 15 | | |

Ground History

| | | Severity / Max. point value | Verified | |
|-------|--|-----------------------------------|----------|--|
| 03.01 | Was the growing facility(s) used for growing food crops for human consumption last season? | 0 | | |
| 03.02 | Has the growing facility(s) been used for any non-agricultural functions? If No, go to 3.03. | 7 | | |

| | | | | |
|--------|--|----|--|--|
| 03.02a | If growing facility land had been used previously for non-agricultural functions have soil tests been conducted showing soil was negative or within an appropriate regulatory agency's approved limits for contaminants? | 15 | | |
| 03.03 | Has the growing facility(s) been used for animal husbandry or grazing land for animals? If No, go to 3.04. | 7 | | |
| 03.03a | If the growing facility land was previously used for animal husbandry or grazing land for livestock, has a risk evaluation been performed? | 10 | | |
| 03.04 | Has flooding from uncontrolled causes occurred on the growing facility(s) since the previous growing season? If No or if substrates are used, go to 3.05. | 0 | | |
| 03.04a | If the growing facility(s) and product was affected from the flood waters, is there documented evidence that corrective measures were taken to affected land and product? | 15 | | |
| 03.04b | Have soil tests been conducted on the flooded area(s) showing soil was negative or within an appropriate regulatory agency's approved limits for contaminants? | 20 | | |
| 03.05 | Is the growing operation under organic principles? If No , go to 3.06. | 0 | | |
| 03.05a | Is current certification by an accredited organic certification organization on file and available for review? | 0 | | |

| | | | | |
|-------|--|----|--|--|
| 03.06 | If the growing facility(s) is a new purchase or lease, has a documented risk assessment been undertaken? | 10 | | |
|-------|--|----|--|--|

Adjacent Land Use

Severity /
Max. point
value

Verified

| | | | | |
|-------|--|----|--|--|
| 04.01 | Is the land adjacent to the growing facility a possible source of contamination from intensive livestock production (e.g. feed lots, dairy operations, poultry houses, meat rendering operation)? If No, go to 4.02. | 10 | | |
|-------|--|----|--|--|

| | | | | |
|--------|---|----|--|--|
| 04.01a | Have appropriate measures been taken to mitigate this possible contamination source onto the growing facility(s) (e.g. buffer areas, physical barriers, foundation, fences, ditches, etc.)? | 15 | | |
|--------|---|----|--|--|

| | | | | |
|-------|--|----|--|--|
| 04.02 | Is the growing facility(s) situated in a higher risk location where contamination could occur from nearby operations or functions (e.g. leach fields, runoff or potential flooding from sewers, toilet systems, industrial facilities, labor camps)? If No, go to 4.03 | 10 | | |
|-------|--|----|--|--|

| | | | | |
|--------|--|----|--|--|
| 04.02a | Have appropriate measures been taken to mitigate risks related to nearby operations? | 15 | | |
|--------|--|----|--|--|

| | | | | |
|-------|--|----|--|--|
| 04.03 | Is there a documented and implemented policy that infant or toddler aged children are not allowed in the growing facility? NOTE: This includes any packaging or equipment storage areas. | 10 | | |
|-------|--|----|--|--|

Pest and Foreign Material Controls

Severity /
Max. point
value

Verified

| | | | | |
|--------|---|----|--|--|
| 05.01 | Is there a written policy supported by visual evidence that domestic and wild animals, livestock, or birds are not allowed in the growing facility; including grounds and any packaging or equipment storage areas. | 10 | | |
| 05.02 | Are all entry points to growing facility(s), storage and packaging areas protected to prevent entry of rodents or birds? | 10 | | |
| 05.03 | If used, are pest control devices (inc. rodent traps and electrical fly killers) located away from exposed food products? If No, go to 5.04. Poisonous rodent bait traps are not used within the growing facility or inside any storage or packaging areas? | 10 | | |
| 05.03a | If used, are pest control devices maintained in a clean and intact condition and marked as monitored (or bar code scanned) on a regular basis? | 10 | | |
| 05.03b | If used, are pest control devices adequate in number and location? | 10 | | |
| 05.03c | If used, are all pest control devices identified by a number or other code (e.g. barcode) ? | 10 | | |
| 05.03d | If used, are all pest control devices properly installed and secured? | 10 | | |
| 05.04 | Is there a written pest control program, including a copy of the contract with the extermination company (if used), Pest Control Operator license (if baits are used) and insurance documents? | 15 | | |

| | | | | |
|-------|--|----|--|--|
| 05.05 | Is there a schematic drawing of the plant showing numbered locations of all traps and bait stations, both inside and outside the plant? | 10 | | |
| 05.06 | Are service reports created for pest control checks detailing inspection records, application records, and corrective actions (if issues were noted) (in-house and/or contract)? | 10 | | |
| 05.07 | Has the facility eliminated or controlled any potential glass, metal or hard plastic contamination issues? | 10 | | |
| 05.08 | Is there a written glass policy (including glass breakage procedure and where necessary a glass register)? | 10 | | |
| 05.09 | Are the growing facility(s), including grounds and any packaging and storage areas clean and well maintained? | 10 | | |
| 05.10 | If applicable, are compost and/or substrate receiving and storage areas adequately separated from crop production and packaging and other storage areas? | 10 | | |

Growing Media (Substrate) Use

| | | Severity / Max. point value | Verified | |
|-------|--|-----------------------------------|----------|--|
| 06.01 | Is soil used in the growing operation? | 0 | | |

| | | | | |
|--------|---|----|--|--|
| 06.02 | Is a hydroponic system used? If No, go to 6.04 | 0 | | |
| 06.03 | If a hydroponic system is used, is it a "closed" hydroponic system (excess solution is captured and reused) ? | 0 | | |
| 06.03a | If used, are records available detailing how the solution is treated for recycling? | 15 | | |
| 06.04 | Are substrates (e.g. sand, gravel, vermiculite, rockwool, perlite, peat moss, coir, etc.) used? If no, go to 7.01 | 0 | | |
| 06.04a | If substrates are heat/steam sterilized, have the location, date of sterilization, time/temperature readings, operator's name and pre-plant interval been recorded? | 15 | | |

Fertilizer/Crop Nutrition

| | | Severity / Max. point value | Verified | |
|-------|--|-----------------------------------|----------|--|
| 07.01 | Is untreated human sewage sludge used in the growing operation? If this question is answered Yes, automatic failure of this audit will result. | 20 | | |
| 07.02 | Is compost produced from animal derived materials used by the grower? If No, go to 7.03 | 0 | | |

| | | | | |
|--------|--|----|--|--|
| 07.02a | Are compost applications incorporated into the soil prior to planting and not applied during the growing season? | 10 | | |
| 07.02b | Are there compost use records available for each growing facility(s), including application records which shows that the interval between application and harvest was not less than 45 days? | 15 | | |
| 07.02c | Are there Certificate(s) of Analysis (CoA) from the compost supplier(s) that covers pathogen testing (plus any other legally best practice required testing) and does the grower have relevant letters of guarantee regarding SOP's and logs? | 20 | | |
| 07.02d | Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the compost supplier(s) that covers heavy metal testing? | 10 | | |
| 07.03 | Are biosolids used? If No, go to 7.04. NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Greens) which ban the use of biosolids, see 7.03e | 0 | | |
| 07.03a | Are biosolids incorporated into the soil prior to planting and not applied during the growing season? | 15 | | |
| 07.03b | Are the grower's biosolids use records available for each growing facility(s), especially application records? | 15 | | |
| 07.03c | Is there a Certificate(s) of Analysis (COA) from the biosolid supplier(s) certifying compliance with prevailing national/ local standards and guidelines (microbiological analysis)? If this question is answered No, automatic failure of this audit will result. | 20 | | |

| | | | | |
|--------|---|----|--|--|
| 07.03d | Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the biosolid supplier(s) certifying compliance with prevailing national/ local standards and guidelines (heavy metal test analysis)? | 10 | | |
| 07.03e | Are biosolids being applied to crops where the country of production regulations/guidelines ban the use of such materials e.g. Leafy Green Commodity Specific Guidelines in California? If this question is answered Yes, automatic failure of this audit will result. | 20 | | |
| 07.04 | Is untreated animal manure used? If No, go to 7.05. NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Green Commodity Specific Guidelines) which ban the use of untreated animal manures. See 7.04d | 15 | | |
| 07.04a | Is untreated animal manure incorporated into the soil prior to planting and not applied during the growing season? | 20 | | |
| 07.04b | Are there untreated animal manure records available for each growing facility(s) including application, blend, pot/bed fill records which shows that the interval between application, blend, pot/bed fill and harvest was not less than 120 days (unless more stringent laws or guidelines exist)? | 15 | | |
| 07.04c | Are there Certificate(s) of Analysis (COA), specification or some other document available for review provided by the untreated animal manure supplier stating the components of the material? | 20 | | |
| 07.04d | Are untreated animal manures being used where the country regulations/guidelines ban the use of such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? If this question is answered Yes, automatic failure of this audit will result. | 20 | | |
| 07.05 | Are other nonsynthetic crop treatments used (e.g. compost teas, fish emulsions, fish meal, blood meal, "bio fertilizers")? If No, go to 7.06. | 0 | | |

| | | | | |
|--------|--|----|--|--|
| 07.05a | Are nonsynthetic treatments that contain animal products or animal manures applied to the edible portions crops? | 15 | | |
| 07.05b | Are nonsynthetic crop treatment records available for each growing facility(s) including application records demonstrating the interval between application and harvest was not less than 45 days? | 15 | | |
| 07.05c | Are there Certificate(s) of Analysis available from the nonsynthetic crop treatment suppliers that covers pathogen testing (plus any other legally/best practice required testing)? | 20 | | |
| 07.05d | Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the nonsynthetic crop treatment suppliers that covers heavy metal testing (plus any other legally/best practice required testing)? | 10 | | |
| 07.06 | Are any soil amendments (except inorganic nutrients/fertilizers) used that do not contain animal products and/or animal manures? If No, go to 7.07. | 0 | | |
| 07.06a | Are the grower's soil or substrate amendment (except inorganic nutrients/fertilizers that do not contain animal products and/or animal manures) records available for review including application records? | 10 | | |
| 07.06b | Are there Certificate(s) of Analysis (COA) and/or letters of guarantee stating that the materials used are free from animal products and/or animal manures? | 20 | | |
| 07.07 | Are inorganic fertilizers used? If No, go to 7.08. | 0 | | |

| | | | | |
|--------|--|----|--|--|
| 07.07a | Are the grower's inorganic fertilizer records available for review including application records? | 10 | | |
| 07.07b | Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the inorganic fertilizer supplier(s) that specifies all the ingredients including inert materials? | 10 | | |
| 07.08 | If fertilizers and/or fertilizer containers are stored on the property, are they stored in a manner to prevent contamination to the growing facility(s) or any water sources? | 3 | | |

Irrigation/Water Use

| | | Severity / Max. point value | | Verified |
|--------|--|-----------------------------------|--|----------|
| 08.01 | Is the water used for the growing operation sourced from municipal or district water pipeline systems? If No, go to 8.02. | 0 | | |
| 08.01a | Are microbiological tests, including generic E.coli conducted on water used for crop protection/fertilizer applications, and irrigation? If No, go to 8.01c. | 20 | | |
| 08.01b | Are the microbiological tests current and conducted at the required and/or expected frequencies? | 15 | | |
| 08.01c | Do written procedures (SOPs) exist covering proper sampling protocols and are the SOPs being implemented? NOTE: Irrespective of water source, samples for microbial testing should be taken at a point as close to the point of use as practical, so as to test both the water source and the water distribution system. | 10 | | |

| | | | | |
|--------|---|----|--|--|
| 08.01d | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results? | 10 | | |
| 08.01e | If unsuitable or abnormal results have been detected, have documented corrective measures been performed? | 20 | | |
| 08.01f | Are the crops irrigated by a micro irrigation or drip system? | 0 | | |
| 08.01g | Is overhead irrigation (e.g. spray, hose) used to irrigate the crop? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | 0 | | |
| 08.01h | Are the crops irrigated by flood irrigation or a furrow system? | 0 | | |
| 08.01i | Are the crops sub irrigated (also known as seepage irrigation)? | 0 | | |
| 08.02 | Is the water used in the growing operation sourced from wells? If No, go to 8.03. | 0 | | |
| 08.02a | Are all well heads in adequate distance from untreated manure? | 15 | | |

| | | | | |
|--------|--|----|--|--|
| 08.02b | Is the well designed to prevent contamination? | 10 | | |
| 08.02c | Is it evident that the well(s) is free from contamination issues and are measures taken to minimize contamination of wells? | 10 | | |
| 08.02d | Are records kept for periodic inspections and treatment of wells (if performed) available for review? | 7 | | |
| 08.02e | Are microbiological tests, including generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications? If No, go to 8.02g | 20 | | |
| 08.02f | Are the microbiological tests current and conducted at the required and/or expected frequencies? | 15 | | |
| 08.02g | Do written procedures (SOPs) exist covering proper sampling protocols and are the SOPs being implemented? NOTE: Irrespective of water source, samples for microbial testing should be taken at a point as close to the point of use as practical, so as to test both the water source and the water distribution system. | 10 | | |
| 08.02h | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results? | 10 | | |
| 08.02i | If unsuitable or abnormal results have been detected, have documented corrective measures been performed? | 20 | | |

| | | | | |
|--------|---|----|--|--|
| 08.02j | Are the crops irrigated by a micro irrigation or drip system? | 0 | | |
| 08.02k | Is overhead irrigation (e.g. spray, hose) used to irrigate the crop? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | 0 | | |
| 08.02l | Are the crops irrigated by flood irrigation or a furrow system? | 0 | | |
| 08.02m | Are the crops sub irrigated (also known as seepage irrigation)? | 0 | | |
| 08.03 | Is the water used in the growing operation sourced from ponds, reservoirs, watersheds or other surface water source? If No, go to 8.04 | 0 | | |
| 08.03a | Is surface water in adequate distance from untreated manure? | 15 | | |
| 08.03b | Do animals (domestic, livestock, or wild) have access to the water source? | 7 | | |
| 08.03c | Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the water source? | 10 | | |

| | | | | |
|--------|--|----|--|--|
| 08.03d | Are records kept for the periodic visual inspections and disinfection treatments (if used) available for review? | 7 | | |
| 08.03e | Are microbiological tests, including generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 8.03g. | 20 | | |
| 08.03f | Are the microbiological tests current and conducted at the required and/or expected frequencies? | 15 | | |
| 08.03g | Do written procedures (SOPs) exist covering proper sampling protocols and are the SOPs being implemented? NOTE: Irrespective of water source, samples for microbial testing should be taken at a point as close to the point of use as practical, so as to test both the water source and the water distribution system. | 10 | | |
| 08.03h | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results? | 10 | | |
| 08.03i | If unsuitable or abnormal results have been detected, have documented corrective measures been performed? | 20 | | |
| 08.03j | Are the crops irrigated by a micro irrigation or drip system? | 0 | | |
| 08.03k | Is overhead irrigation (e.g. spray, hose) used to irrigate the crop? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | 0 | | |

| | | | | |
|--------|---|----|--|--|
| 08.03l | Are the crops irrigated by flood irrigation or a furrow system? | 0 | | |
| 08.03m | Are the crops sub irrigated (also known as seepage irrigation)? | 0 | | |
| 08.04 | Is the water used in the growing operation sourced from canals, rivers, ditches, or other open flowing water systems? If No, go to 8.05. | 0 | | |
| 08.04a | Is surface water in adequate distance from untreated manure? | 15 | | |
| 08.04b | Is the water source under the direction of a water authority or district? | 5 | | |
| 08.04c | Do animals (domestic, livestock, or wild) have access to the water source? | 7 | | |
| 08.04d | Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the water source? | 10 | | |
| 08.04e | Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review? | 7 | | |

| | | | | |
|--------|--|----|--|--|
| 08.04f | Are microbial tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 8.04h. | 20 | | |
| 08.04g | Are the microbiological tests current and conducted at the required and/or expected frequencies? | 15 | | |
| 08.04h | Do written procedures (SOPs) exist covering proper sampling protocols and are the SOPs being implemented? NOTE: Irrespective of water source, samples for microbial testing should be taken at a point as close to the point of use as practical, so as to test both the water source and the water distribution system. | 10 | | |
| 08.04i | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results? | 10 | | |
| 08.04j | If unsuitable or abnormal results have been detected, have documented corrective measures been performed? | 20 | | |
| 08.04k | Are the crops irrigated by a micro irrigation or drip system? | 0 | | |
| 08.04l | Is overhead irrigation (e.g. spray, hose) used to irrigate the crop? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | 0 | | |
| 08.04m | Are the crops irrigated by flood irrigation or furrow system? | 0 | | |

| | | | | |
|--------|--|----|--|--|
| 08.04n | Are the crops sub irrigated (also known as seepage irrigation)? | 0 | | |
| 08.05 | Is reclaimed water used in the growing operation? NOTE: This refers to wastewater that has gone through a treatment process. If No, go to 8.06. | 0 | | |
| 08.05a | Is the reclamation process under the direction of a water reclamation management or authority? | 10 | | |
| 08.05b | Are microbial control measures for reclaimed water utilized? | 15 | | |
| 08.05c | Are microbial tests, including generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 8.05e. | 20 | | |
| 08.05d | Are the microbiological tests current and conducted at the required and/or expected frequencies? | 15 | | |
| 08.05e | Do written procedures (SOPs) exist covering proper sampling protocols and are the SOPs being implemented? NOTE: Irrespective of water source, samples for microbial testing should be taken at a point as close to the point of use as practical, so as to test both the water source and the water distribution system. | 10 | | |
| 08.05f | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results? | 10 | | |

| | | | | |
|--------|---|----|--|--|
| 08.05g | If unsuitable or abnormal results have been detected, have documented corrective measures been performed? | 20 | | |
| 08.05h | Are the crops irrigated by a micro irrigation or drip system? | 0 | | |
| 08.05i | Is overhead irrigation (e.g. spray, hose) used to irrigate the crop? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | 0 | | |
| 08.05j | Are the crops irrigated by flood irrigation or a furrow system? | 0 | | |
| 08.05k | Are the crops sub irrigated (also known as seepage irrigation)? | 0 | | |
| 08.06 | Are tail water (run off water) systems used in the growing operation? If No, go to 8.07. | 0 | | |
| 08.06a | Are tail water (run off water) systems in adequate distance from untreated manure? | 15 | | |
| 08.06b | Do animals (domestic, livestock, or wild) have access to the tail water systems? | 7 | | |

| | | | | |
|--------|--|----|--|--|
| 08.06c | Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the tail water system? | 10 | | |
| 08.06d | Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review? | 7 | | |
| 08.06e | Are microbial tests conducted, including generic E.coli on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 8.06g. | 20 | | |
| 08.06f | Are the microbiological tests current and conducted at the required and/or expected frequencies? | 15 | | |
| 08.06g | Do written procedures (SOPs) exist covering proper sampling protocols and are the SOPs being implemented? NOTE: Irrespective of water source, samples for microbial testing should be taken at a point as close to the point of use as practical, so as to test both the water source and the water distribution system. | 10 | | |
| 08.06h | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results? | 10 | | |
| 08.06i | If unsuitable or abnormal results have been detected, have documented corrective measures been performed? | 20 | | |
| 08.06j | Are the crops irrigated by a micro irrigation or drip system? | 0 | | |

| | | | | |
|--------|---|----|--|--|
| 08.06k | Is overhead irrigation (e.g. spray, hose) used to irrigate the crop? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | 0 | | |
| 08.06l | Are the crops irrigated by flood irrigation or furrow system? | 0 | | |
| 08.06m | Are the crops sub irrigated (also known as seepage irrigation)? | 0 | | |
| 08.07 | Are check valves, anti-siphon devices, or other back flow prevention systems in use when and where necessary? | 10 | | |
| 08.08 | Is irrigation equipment that is not in use, free from pest contamination and stored clean, off the ground? | 10 | | |

Plant Protection

| | | Severity / Max. point value | Verified | |
|--------|--|-----------------------------------|----------|--|
| 09.01 | Is there a documented policy and/or procedures for the mixing/loading of plant protection products (insecticides, fungicides, herbicides, plant growth regulators, etc.) ? | 5 | | |
| 09.01a | Is mixing, loading, or the dilution of plant protection products performed safely and within a distance where the growing facility(s) and water sources are not affected? | 7 | | |

| | | | | |
|--------|--|----|--|--|
| 09.02 | Is there a documented policy and/or procedures for the rinsing and cleaning of plant protection product equipment? | 5 | | |
| 09.02a | Is rinsing and cleaning of plant protection product equipment performed safely and within a distance where land and water sources are not affected? | 7 | | |
| 09.03 | Is there documentation that shows the individual(s) making decisions for plant protection are qualified? | 10 | | |
| 09.04 | Is there documentation that shows employees who handle plant protection products are trained or are under the supervision of a trained individual? | 15 | | |
| 09.05 | Does the growing operation follow a pesticide application recording program of all plant protection products (including soil and substrate pre-plant treatments)? If No, go to 9.06. If this question is answered No, automatic failure of this audit will result. | 20 | | |
| 09.05a | Are plant protection product application records up to date and available for review? | 15 | | |
| 09.06 | Are plant protection products registered in the country of use for the target plant where official registration is in place? If this question is answered Yes, skip to question 9.07. If no official registration system is utilized answer this question N/A and go to 9.06a. Where a system exists, but a plant protection chemical being used is not registered for the target plant the response to this question is No. If this question is answered No, automatic failure of this audit will result. | 20 | | |

| | | | | |
|--------|--|----|--|--|
| 09.06a | Does the country of production allow the use of plant protection products that are registered for the target plant in another country, as long as the use of this chemical does not contravene any prevailing national and local laws in the destination country (e.g. maximum residue limit, banned lists, etc.)? If this question is answered No, automatic failure of this audit will result. | 20 | | |
| 09.07 | Are crop protection product applications restricted by the guidelines established by the product label, manufacturer recommendation, or by prevailing national/ local standards and guidelines? If this question is answered No, automatic failure of this audit will result. | 20 | | |
| 09.08 | Where harvesting is restricted by pre-harvest intervals (as required on the crop protection chemical product labels, manufacturer recommendations and/or by prevailing national/ local standards) is the grower adhering to these pre-harvest interval time periods? If this question is answered No, automatic failure of this audit will result. | 20 | | |
| 09.09 | Are employee reentry intervals established as required by the pesticide label, manufacturer recommendation, or by prevailing national/ local standards and guidelines? | 10 | | |
| 09.10 | When crop protection applications occur, does posting take place on area of treatment according to prevailing national/ local standards and guidelines? | 10 | | |
| 09.11 | Are pesticides applied while wind speed, including gusts, are low enough to avoid drift? | 10 | | |
| 09.12 | If crop protection containers are stored on the property (even temporarily), are they stored in a manner to prevent contamination and disposed of responsibly? | 10 | | |

| | | | | |
|-------|--|----|--|--|
| 09.13 | Have documented policies and/or procedures been developed for the monitoring of crop protection application equipment (e.g. calibration procedures, inspections, replacement)? | 10 | | |
|-------|--|----|--|--|

| | | | | |
|--------|--|----|--|--|
| 09.13a | Is it evident that the equipment used for crop protection applications is in good working order? | 10 | | |
|--------|--|----|--|--|

Employee Hygiene (This applies to greenhouse workers not the harvesting workers)

| | | Severity / Max. point value | | Verified |
|-------|--|-----------------------------------|--|----------|
| 10.01 | Does the growing operation have a documented and implemented policy for dealing with employees who appear to be physically ill, or become ill while working (actions for employees to take in the event of injury or illness)? | 10 | | |
| 10.02 | Does the growing operation have a documented and implemented policy regarding employees with open sores and wounds? | 10 | | |
| 10.03 | Does the growing operation have written procedures describing the disposition of product that has come into contact with blood or other bodily fluids? If this question is answered No, automatic failure of this audit will result. | 20 | | |
| 10.04 | Does the growing operation have documented and implemented policies prohibiting eating, drinking (including gum chewing) using tobacco in the growing facility(s)? | 10 | | |
| 10.05 | Is there a food safety hygiene training program covering new and existing employees and are there records of these training events? | 15 | | |

| | | | | |
|--------|---|----|--|--|
| 10.06 | Are there operational toilet facilities provided? If NO, go to 10.07. If this question is answered No, the audit will result in an automatic failure. | 20 | | |
| 10.06a | Are the toilet facilities placed within ¼ mile or 5 minutes walking distance of all employees? | 10 | | |
| 10.06b | Are toilet facilities in a suitable location to prevent contamination to the growing facility(s)? | 15 | | |
| 10.06c | Is a minimum of one toilet facility provided for each group of 20 employees? | 5 | | |
| 10.06d | Do toilet facilities have visuals or signs, written in the appropriate languages, reminding employees to wash their hands before returning to work? | 20 | | |
| 10.06e | Are the toilets maintained in a clean and sanitary condition and are there records showing toilet cleaning, servicing and stocking is occurring regularly? | 10 | | |
| 10.06f | Are the catch basins of the toilets designed and maintained to prevent contamination (e.g. free from leaks and cracks)? | 5 | | |
| 10.06g | Is there is a documented and implemented procedure for emptying the catch basin in a hygienic manner and also in a way that prevents product, packaging, equipment, and water system contamination? | 5 | | |

| | | | | |
|--------|--|----|--|--|
| 10.06h | Is there a documented response plan for major leaks or spills for the sanitation units? | 5 | | |
| 10.07 | Is there evidence of human fecal contamination in the growing facility(s)? If this question is answered Yes, automatic failure of this audit will result. | 20 | | |
| 10.08 | Are there operational hand washing facilities provided? If No, go to 10.09. | 15 | | |
| 10.08a | Are the hand washing facilities placed within ¼ mile or 5 minutes walking distance of all employees? | 10 | | |
| 10.08b | Are hand wash stations properly stocked with soap, paper towels and trash can? | 5 | | |
| 10.08c | Are the hand wash stations designed and being maintained to prevent contamination onto the growing facility(s) (i.e. spent water does not go straight to the ground)? | 5 | | |
| 10.08d | Does the growing operation have a documented and implemented policy and procedure in place requiring employees to wash their hands (e.g. prior to beginning work, after breaks, after toilet use)? | 10 | | |
| 10.09 | Is fresh potable drinking water provided for workers? If No, go to 10.10 | 10 | | |

| | | | | |
|--------|--|---|--|--|
| 10.09a | If used, are water containers maintained in a clean condition? | 5 | | |
| 10.10 | Are first-aid kits available and is the inventory maintained properly? | 5 | | |
| 10.11 | Are there trash cans available and placed in suitable locations? | 5 | | |
| 10.12 | Are there any foreign material issues observed that are or could be potential risks to the product in the growing facility(s) (e.g., jewelry)? | 5 | | |

Food Security

| | | Severity / Max. point value | | Verified |
|-------|---|-----------------------------------|--|----------|
| 11.01 | Are entrances restricted by gates, chains, guard stations, etc.? | 5 | | |
| 11.02 | Are postings such as "No Trespassing" and/or "Restricted Entry" used appropriately? | 5 | | |
| 11.03 | Are wells or other water sources secured and designed to prohibit tampering? | 5 | | |

| | | | | |
|--------|---|---|--|--|
| 11.04 | Are grounds and facilities monitored by either in-house or contracted personnel? | 5 | | |
| 11.05 | Are employees instructed to note and report suspicious activity? | 5 | | |
| 11.06 | Are high risk areas (e.g. spray equipment, equipment shops, sheds) monitored? | 5 | | |
| 11.07 | Is equipment examined for tampering prior to use? | 5 | | |
| 11.08 | Are company supervisors required to undergo documented security training with attendance records? | 5 | | |
| 11.09 | Is education material related to security made available? | 5 | | |
| 11.10 | Are inventory records of agricultural chemicals maintained? If No, go to 11.11. | 5 | | |
| 11.10a | Are the inventory records periodically reconciled with physical inventory? | 5 | | |

| | | | | |
|-------|---|---|--|--|
| 11.11 | Are all personal materials kept away from the growing area within the greenhouse? | 5 | | |
|-------|---|---|--|--|